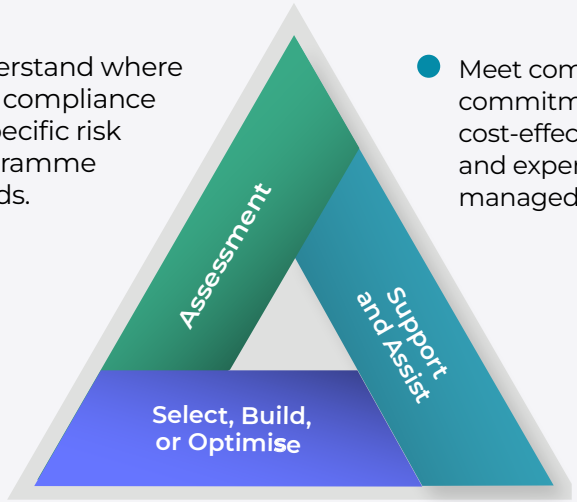
Compliance Advisory and Technology

Drive a culture of compliance with data-driven strategies and services.

Build trust and reduce risk through effective prevention, detection, and investigation of alleged misconduct. Identify compliance risk and inefficiencies within your programme using data. Create and execute a plan to streamline operations with proven processes, technology, and expert services. Promote a culture of integrity with transparent dashboards based on performance against key compliance risk indicators.

- Understand where your compliance or specific risk programme stands.
- Meet compliance commitments with cost-effective and experienced managed resources.



- Select and configure software that ensures compliance, supports business needs, and delivers ROI.

Why Epiq Legal Solutions?

Experience the advantage of solutions from a partner specialising in legal.

Drive decisions with AI solutions that combine people, process, technology, and data.

Access real-time metrics and dashboards.

Eliminate manual and redundant processes.

Epiq Differentiators

- Confidently implement compliance programmes working with senior leaders who collectively have 100+ years' experience meeting regulatory requirements.
- Save time and costs by working with a single experienced partner to assess, build, and support your compliance programme.
- Gain previously unknown insights from the information resident within your systems working with data scientists.

How To Build an Effective Compliance Programme

Read the Blog

©Epiq 2026 Feb 18 (1229307661)

Key Features

Assessment

- Meet business requirements with a bespoke assessment.
- Enhance compliance maturity and resiliency with targeted recommendations.
- Drive efficiencies by identifying AI and other technologies that can be applied to compliance controls.
- Engage a variety of stakeholders within your organisation with interviews to ascertain awareness, commitment, and risk tolerance.
- Execute recommendations based on prioritised one, two, and three-year goals.

Select, Build, or Optimise

- Evaluate and identify software that meets your functional compliance and technical IT requirements, collaborating with procurement if desired.
- Ensure software ROI through proper configuration, streamlined workflows, selective data migration, thorough testing, and comprehensive report creation.
- Mitigate compliance risk by conducting effective pre- and post-due diligence on mergers, acquisitions, and joint ventures.
- Seamlessly onboard acquisitions with policy and training integration, systems integration, and updated reporting.
- Ensure completion of a consent decree or deferred prosecution agreement provisions with project management and compliance operations expertise.
- Raise awareness of ethical AI use among employees and third parties through a certification programme.

Support and Assist

- Free up internal teams with support from onshore, offshore, and AI resources for training, monitoring, reporting, clearing due diligence, and managing policies.
- Reduce organisational risk through the real-time identification of potential misconduct.
- Triage potential compliance red flags by connecting the dots between data points and documenting your findings.
- Ensure long-term technology performance with system administration support.
- Strengthen third-party risk management by conducting risk-based vetting and resolving potential issues before contracts are signed and ongoing monitoring.
- Identify and address root cause following compliance infractions with expert support.
- Maintain compliance policies, training, certifications, reporting, and ongoing excellence with managed services.