



epiq efficiency

With decades of experience administering some of the largest and most complex securities settlements, Epiq is uniquely situated to ensure a seamless, on-time, and on-budget administration.

The Epiq difference

Epiq is a worldwide provider of legal services and technology, serving law firms, corporations, financial institutions and government agencies. Epiq offers innovative solutions, including legal notification, claims administration, and controlled disbursement of funds. In its more than 45 years in data processing, class action administration, legal notice, and distributions, Epiq has administered more than 1,000 settlement funds and disbursed over \$7 billion. Our seasoned, committed, and highly responsive staff includes attorneys with securities class action experience, business analysts with close working relationships with the larger brokers/nominees, and project managers with a collective 50+ years of experience in settlement administration.

Robust security

As is critically important in cases involving sensitive financial data, Epiq has in place internal security processes and procedures to protect the privacy of all

information and prevent fraud. Epiq has implemented an organization-wide information security framework to review and adhere to statutory, regulatory, and contractual security requirements across its systems and services. Our information security function utilizes ISO 27001/2, NIST, FISMA, multiple international privacy regulations, and other control frameworks to ensure controls are in place and effective beyond a singular program. All client data is housed in at least a Tier 4 SSAE 16/SOC certified co-located data center within private, locked cages. Internal and third-party monitoring is in place to ensure consistent operation of these structures and processes.

Unsurpassed quality

Our extensive experience handling complex financial data is critical. Quality controls and checks and balances are built into every stage of each administration. By ensuring quality from notice disbursement through claims processing, and eventually by extensive auditing prior to disbursement, Epiq ensures that accurate awards are sent to

Data Security and Quality Control

investors. Our internal quality control and audit team is committed to ensuring conformity with all relevant court orders, plans of allocation, and settlement agreements. Of particular importance is our experience administering not only some of the largest securities settlements in history, but also settlements with multiple securities of different types (including common stock, preferred stock, fixed income securities, convertible securities, options, ADSs, and mortgage-backed securities) and extremely complex plans of allocation.

Representative securities experience

Securities and Exchange Commission v. American International Group, Inc.: More than 2 million notices mailed; more than 500,000 claims processed; 419 different securities; approximately 400,000 payments sent; more than \$920 million disbursed.

Merck/Schering Securities Litigations (Vytarin): \$688 million combined settlements; approximately 1.5 million notices mailed; more than 400,000 claims processed; common stock, preferred stock, call options, put options; more than \$530 million disbursed to injured investors.

General Motors Securities Litigation: \$303 million settlement; more than 1.8 million notices mailed; more than 400,000 claims processed; 32 eligible securities; approximately \$260 million disbursed.

Oppenheimer Municipal Bond Fund Settlements: Six combined settlements totaling \$89.5 million; approximately 650,000 notices mailed (including more than 460,000 pre-populated claim forms); 19 share classes across six mutual funds; more than \$57 million disbursed.

In re Toyota Motor Corp. Securities Litigation: \$25 million settlement; approximately 675,000 notices mailed; more than 400,000 claims processed; American Depository Shares (ADSs); approximately 220,000 eligible claims paid.

In re Parmalat Securities Litigation: \$65M + 10.5 million shares of stock; settlement included common stock, warrants, and 79 fixed-income securities including bonds and private placements denominated in six different currencies. Disbursements in euros and U.S. dollars to injured claimants.

In the Matter of OppenheimerFunds, Inc. and OppenheimerFunds Distributor, Inc.: SEC Administrative Proceeding with a \$35.5 million Fair Fund; approximately 397,000 notices mailed; more than 322,000 claims processed; more than \$34 million disbursed to approximately 157,000 eligible claims.

Hill v. State Street Corporation: \$60 million settlement; approximately 780,000 notices mailed; more than 245,000 claims processed.

In re Bank of New York Mellon Corp. FX Securities Action: \$180 million settlement; approximately 963,000 notices mailed; more than 350,000 claims processed.

Dahl v Bain Capital Partners / Private Equity Litigation: \$590.5 million combined settlements with multiple defending companies and securities; approximately 520,000 notices mailed; more than 124,000 claims processed; more than \$385 million disbursed.

In re Merck & Co., Inc. Securities, Derivative and "Erisa" Litigation (Vioxx): Over \$1 billion settlement; approximately 1.9 million notices mailed.